

# Optimization Techniques for Virtual Machine Placement and Migration

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*Abstract:* Virtualization is widely used due to its flexibility, scalability, and cost reduction. In virtualization, virtual machines (VM) should be placed optimally onto physical machines (PM) to reduce power consumption and avoid resource shortages. VM placement is an intractable combinatorial optimization problem. Moreover, optimal VM placement changes if the loads on VMs change over time. This means that load change necessitates VM migration among PMs. Since VM migration incurs network load, migration frequency must be small. Thus, both power consumption and the number of migrations should be minimized when determining VM placement. This research formulates the problem and examines algorithms that solve it. The examined algorithms include two metaheuristics, i.e., simulated annealing and tabu search methods. A method previously presented by the author was also tested for comparison. These methods were evaluated through computer simulation.

*Key-Words:* virtualization; optimization; metaheuristic; cloud computing; simulated annealing; tabu search

## 1 Introduction

Currently, virtualization [1] is widely used as the basis of cloud computing due to its multiple advantages, including high flexibility, scalability, security, and low cost [2]. Generally, in a virtualized environment, multiple virtual machines (VM) are hosted on a physical machine (PM). Shared computational resources are assigned to each VM by the host PM. However, the host PM's resources should not be consumed excessively for sufficient VM performance.

Assume that multiple VMs are hosted on multiple PMs and that the load varies among VMs. Each VM should be allocated sufficient resources to ensure satisfactory performance. The number of required PMs and power requirements depend on the placement of VMs among the PMs. Efficient placement of VMs among PMs is a combinatorial optimization problem that cannot be resolved easily. In some cases, VM placement optimization is equivalent to a bin-packing problem [3]. Thus, the problem is NP-hard.

Optimal VM placement will change when the loads on VMs vary over time. This requires migration of VMs among PMs. The live migration technique [4] enables VMs to be moved among PMs without interrupting services. However, VM migration incurs a load on the network. Thus, placement and migration relative to load changes must be determined so as to minimize power consumption and network load.

Methods to optimize VM placement and migration have been reported in the literature [5-8]. Reference [5] attempted to minimize several efficiency metrics. However, it is unclear whether the objective function used in [5] is practical. The optimization methods reported in [6, 7] minimize power consumption. However, they do not consider load incurred by migration. The method proposed in the author's previous work [8] optimizes VM placement considering both power consumption and network load incurred by migration. However, this method assumes the computational capability of each PM is identical. Optimization should consider the heterogeneity of computational capability because PMs may have different specifications in a real-world environment. In addition, a solution obtained by the author's previously proposed method [8] may not be sufficiently close to the strict optimum.

This study investigates VM placement and migration optimization assuming a time-varying load, multiple computational resources that affect performance, and heterogeneous PM specifications. The objective function considers power consumption and the network load incurred by migration. This study examines two metaheuristics, i.e., simulated annealing and tabu search methods. These methods are known to be effective for complex optimization problems, such as VM placement. The previous method [8], which has been modified for heterogeneous PM capability, is also tested. The algorithms are assessed through computer simulation,

and the results show that the simulated annealing and tabu search metaheuristics provide better solutions than the method of [8].

The remainder of this paper is organized as follows. Section 2 describes the problem. The examined algorithms are discussed in Section 3. Section 4 evaluates the algorithms through computer simulation. Related work is reviewed in Section 5, and conclusions are provided in Section 6.

## 2 Problem Description

Assume  $m$  VMs denoted by  $VM_1, VM_2, \dots, VM_m$ . Each VM is hosted by one of  $n$  PMs denoted by  $PM_1, PM_2, \dots, PM_n$ . The computational capability of the PMs may vary. Consider that the computational capability of a certain PM, for example  $PM_1$ , is the standard. Then, the computational capability of  $PM_j$  is  $\eta_j$  ( $1 \leq j \leq n$ ) times greater than that of the PM with standard capability.

The performance of a VM depends on the consumption of  $K$  computational resources, e.g., CPU, memory, and network I/O, indexed as  $1, 2, \dots, K$ . Let  $u_{i,k}(t)$  ( $1 \leq i \leq m, 1 \leq k \leq K$ ) denote the consumption of resource  $k$  at time  $t$  assuming that  $VM_i$  runs on the standard capability PM. Note that  $u_{i,k}(t)$  is expressed as a percentage. If  $VM_i$  is hosted by  $PM_j$ , it consumes  $u_{i,k}(t)/\eta_j$  % of resource  $k$  of  $PM_j$ . The load on  $VM_i$  is specified by resource consumptions  $u_{i,1}(t), \dots, u_{i,K}(t)$ .

Let  $U_{j,k}(t)$  denote the percentile consumption of resource  $k$  on  $PM_j$  at time  $t$ . Then,  $U_{j,k}(t)$  is expressed as follows.

$$U_{j,k}(t) = \sum_{i \in \{i \mid VM_i \text{ is assigned to } PM_j\}} \frac{u_{i,k}(t)}{\eta_j} \quad (1)$$

Resource consumption  $U_{j,k}(t)$  should not be greater than that required to provide VMs with sufficient resources to achieve good performance. Thus, this study introduces a constant  $C$ , and the VMs are assigned resources such that the following constraint is satisfied.

$$U_{j,k}(t) \leq C \quad (2)$$

Power consumption depends on the utilization of computational resources [9]. Also,  $PM_j$  can be turned off (i.e., no power is consumed) if it is not hosting any VMs. Here let  $P_j(t)$  denote the power consumed by  $PM_j$ . Power  $P_j(t)$  is expressed as follows:

$$P_j(t) = \begin{cases} 0, & PM_j \text{ is turned off} \\ P_{j,0} + \sum_{k=1}^K \frac{P_{j,k} U_{j,k}(t)}{100}, & \text{otherwise} \end{cases} \quad (3)$$

where  $P_{j,0}$  is the portion not affected by the load and  $P_{j,1}, \dots, P_{j,K}$  are the coefficients showing how the consumption of resources  $1, \dots, K$  affects the power.

The placement of VMs is expressed by a binary variable  $x_{i,j}(t)$  defined as follows.

$$x_{i,j}(t) = \begin{cases} 1, & \text{if } VM_i \text{ is placed to } PM_j \text{ at } t \\ 0, & \text{otherwise} \end{cases} \quad (4)$$

Assume that the VM load is given at a discrete time  $t_0, t_1, t_2, \dots$ . Then, the problem is to determine  $x_{i,j}(t)$  at  $t = t_0, t_1, t_2, \dots$  such that  $P_j(t)$  and the migration load are minimized and Eq. (2) is satisfied.

The network load incurred by migration is roughly determined by the memory size assigned to the VM [10]. Consequently, if memory size is identical for each VM, the network load is proportional to the number of migrated VMs. Here, binary variable  $y_i(t)$  for  $VM_i$  and time  $t$  is used to estimate the number of migrating VMs. At time  $t_s$  ( $s = 1, 2, \dots$ ),  $y_i(t_s)$  is 1 if  $VM_i$  is being migrated. Otherwise,  $y_i(t_s)$  is 0. If  $VM_i$  migrates to  $PM_j$ ,  $x_{i,j}(t_s)$  is 1 and differs from  $x_{i,j}(t_{s-1})$ . Therefore,  $y_i(t_s)$  for  $s > 0$  is expressed as follows.

$$y_i(t_s) \geq x_{i,j}(t_s) - x_{i,j}(t_{s-1}), 1 \leq j \leq n \quad (5)$$

At  $t_0$ ,  $y_i(t_0)$  is 0 because no previous placement exists. Let  $v(t)$  denote the number of migrated VMs.  $v(t)$  is the sum of  $y_i(t)$ , which is expressed as follows.

$$v(t) = \sum_{i=1}^m y_i(t) \quad (6)$$

Let  $\mathbf{x}$  denote the vector of decision variables, including  $x_{i,j}(t)$ ,  $y_i(t)$ ,  $v(t)$ ,  $U_{j,k}(t)$ , and  $P_j(t)$ . The objective function  $f(\mathbf{x})$  is defined as the weighted sum of the power consumed by the system and the number of migrations:

$$f(\mathbf{x}) = \sum_{j=1}^n P_j(t) + w \cdot v(t) \quad (7)$$

where  $w$  ( $w \geq 0$ ) is the weight parameter. Then, the problem is to determine  $\mathbf{x}$  that minimizes  $f(\mathbf{x})$  for a given  $u_{i,k}(t_s)$  and  $x_{i,j}(t_{s-1})$  at time  $t_s$  ( $s \geq 0$ ). This is formulated as the following mixed integer programming (MIP) problem:

$$\text{minimize } f(\mathbf{x}) = \sum_{j=1}^n P_j(t_s) + w \cdot \sum_{i=1}^m y_i(t_s) \quad (8)$$

subject to

$$U_{j,k}(t_s) = \sum_{i=1}^m \frac{u_{i,k}(t_s)x_{i,j}(t_s)}{\eta_j} \leq C, \quad 1 \leq j \leq n, 1 \leq k \leq K \quad (9)$$

$$\sum_{j=1}^n x_{i,j}(t_s) = 1, \quad 1 \leq i \leq m \quad (10)$$

$$y_i(t_s) \geq x_{i,j}(t_s) - x_{i,j}(t_{s-1}), \quad 1 \leq j \leq n \quad (11)$$

$$P_j(t_s) = P_{j,0} \sum_{i=1}^m x_{i,j}(t_s) + \sum_{k=1}^K \frac{P_{j,k} U_{j,k}(t_s)}{100}, \quad 1 \leq j \leq n \quad (12)$$

where  $s > 0$ . When  $s = 0$ , the restriction of Eq. (11) is omitted and  $y_i(t_0)$  is set to 0. This means that migrations do not need to be considered when the initial placement is determined at  $t_0$ .

In the above formulation, Eq. (9) is equivalent to Eqs. (1) and (2). Thus, the equation represents a restriction that avoids resource shortage. Eq. (10) requires all VMs to be placed on a PM. Eq. (11) is the same as Eq. (5) and determines the number of migrations. Eq. (12) is an alternate expression of Eq. (3), i.e., it is derived by rewriting Eq. (3) using  $x_{i,j}(t_s)$ . This equation determines the power consumption of a PM.

### 3 Algorithms

#### 3.1 Greedy Method for Initial Placement

The two metaheuristics examined in this study require an initial solution, which is obtained using a greedy algorithm. For a given  $u_{i,k}(t)$ , the greedy algorithm assigns VMs to their hosts as follows.

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##### Algorithm *Greedy-Fit*

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1.  $U_{j,k}(t) := 0$  for all  $(j, k)$ ;
2.  $V :=$  set of all VMs;
3. **while**  $V \neq \emptyset$  **do**
4.    $max := -\infty$ ;
5.   **for each** pair of VM $_i$  in  $V$  and PM $_j$  **do**
6.      $U^*_k := U_{j,k}(t) + u_{i,k}(t)/\eta_j$  for all  $k$ ;
7.     Compute  $P_j$  for resource consumption  $U^*_k$ ;
8.     Compute efficiency metric  $e_j$ ;
9.     **if**  $e_j > max$  **then**
10.        $max := e_j$ ;
11.       VM $_{best} := VM_i$ ;
12.       PM $_{best} := PM_j$ ;
- end if**
- end for**
13. Assign VM $_{best}$  to PM $_{best}$ ;
14.  $V := V - \{VM_{best}\}$ ;
- end while**

The efficiency metric  $e_j$  is defined for PM $_j$  as follows:

$$e_j = \frac{\eta_j^K \prod_{k=1}^K U_k^*}{P_j}, \quad (13)$$

where  $U_k^*$  is the tentative resource utilization obtained assuming VM $_i$  is assigned to PM $_j$ . With this metric, a placement that achieves low power consumption, higher resource utilization, and higher performance has higher priority. Thus, a good solution is expected by determining VM placement such that efficiency metric  $e_j$  is maximized.

#### 3.2 Previous Method [8]

The first examined method is a modified version of the algorithm described in [8]. This method calculates VM placement at time  $t_s$  by modifying  $t_{s-1}$  using two types of migration.

- Type 1: migration that avoids overload
- Type 2: migration that reduces power consumption by deploying VMs onto as few PMs as possible

The solution at  $t_0$  is found by the *Greedy-Fit* algorithm. At  $t_1, t_2, \dots$ , the algorithm selects the source PM, destination PM, and VM to be moved by evaluating the efficiency metric for the migration. Specifically, in Type 1, the metric difference caused by migration is estimated for all possible combinations of an overloaded source PM, a destination PM with spare resource capacity, and a VM hosted by the source PM. Then, migration is performed for the combination that maximizes the metric difference. This is repeated until overload is eliminated from all PMs. In Type 2, the metric increase is estimated for all combinations of a source PM, a destination PM, and a VM hosted by the source PM. Again, the migration is executed such that the metric increase is maximized. This is repeated until no combination can increase the metric.

The efficiency metric employed in this study is slightly different from that used in [8] in order to assess heterogeneous PM performance. In other words, PM and VM selection is performed using the metrics in Eq. (13).

#### 3.3 Simulated Annealing

Simulated annealing [11] is a powerful metaheuristic for solving complex optimization problems. This method repeatedly updates a solution by searching a neighborhood of the current solution. In the update process, the neighborhood solution is accepted as a new solution if the objective function decreases. The

neighborhood solution is accepted with some probability  $p$  even when the objective function increases. Here, let  $T$  denote the parameter that controls  $p$ . This parameter is called temperature. Moreover, let  $\mathbf{x}^{\text{now}}$  and  $\mathbf{x}^{\text{best}}$  be the decision variables of the current solution and the best discovered solution, respectively. The method is then described as follows.

**Algorithm Simulated-Annealing**

1.  $\mathbf{x}^{\text{best}} := \mathbf{x}^{\text{now}} :=$  the output of *Greedy-Fit*;
2.  $T := T_0$ ;
3. **for**  $q := 1$  to  $Q$  **do**
4.   **if**  $q = Q_1$  or  $Q_2$  **then**  $T := T_1$ ;
5.   **while** the system is not in equilibrium **do**
6.      $\mathbf{x}^{\text{next}} :=$  neighborhood of  $\mathbf{x}^{\text{now}}$ ;
7.     **if**  $f(\mathbf{x}^{\text{next}}) < f(\mathbf{x}^{\text{best}})$
8.       **then**  $\mathbf{x}^{\text{best}} := \mathbf{x}^{\text{now}} := \mathbf{x}^{\text{next}}$
9.     **else** with probability  $p$ ,  $\mathbf{x}^{\text{now}} := \mathbf{x}^{\text{next}}$ ;
- end while**
10.    $T := \alpha T$ ;
- end for**
11. Output  $\mathbf{x}^{\text{best}}$  and  $f(\mathbf{x}^{\text{best}})$ ;

In the algorithm,  $Q_1$  and  $Q_2$  are integers, where  $Q_1 < Q_2 < Q$ , parameter  $\alpha$  is a real number, where  $0 < \alpha < 1$ ,  $T_0$  is a positive constant, and  $T_1$  is a constant, where  $T_1 < T_0$ .

The method enables the solution to escape a local minimum by allowing the tentative solution to become degraded. The acceptance probability  $p$  for the objective function increase is defined by the increase rate of the objective function  $\Delta f$  and temperature  $T$ .

$$p = e^{-\Delta f/T} \tag{14}$$

The increase rate  $\Delta f$  is defined as follows.

$$\Delta f = \frac{f(\mathbf{x}^{\text{next}}) - f(\mathbf{x}^{\text{now}})}{f(\mathbf{x}^{\text{now}})} \tag{15}$$

Temperature  $T$  is first set to a large value  $T_0$ . The process is then repeated with decreasing  $T$ . Thus, the acceptance probability  $p$  also decreases, as implied by Eq. (14). As step 10 suggests,  $T$  is decreased gradually with the completion of steps 5-9. In other words, the system is cooled after it reaches equilibrium. A near-optimal solution is obtained when  $T$  becomes sufficiently low.

A neighborhood solution  $\mathbf{x}^{\text{next}}$  is generated from  $\mathbf{x}^{\text{now}}$  by randomly executing one of the following methods.

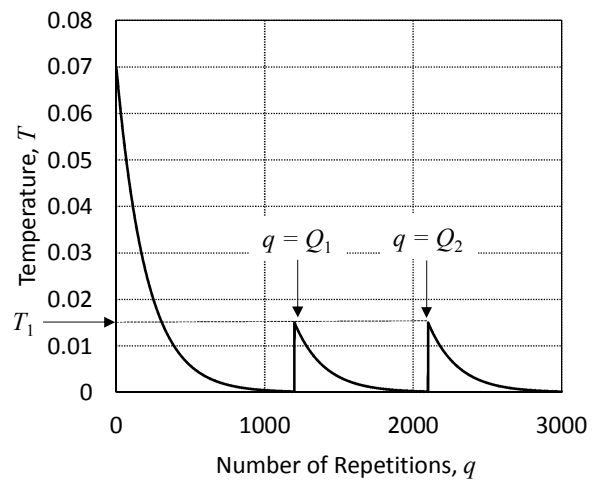
- *Method 1*: A VM is selected randomly. A PM is then selected randomly from the PMs not hosting

the selected VM in  $\mathbf{x}^{\text{now}}$ . Subsequently,  $\mathbf{x}^{\text{next}}$  is created by reassigning the VM to the selected PM.

- *Method 2*: Two VMs hosted by different PMs are chosen randomly from  $\mathbf{x}^{\text{now}}$ . Then,  $\mathbf{x}^{\text{next}}$  is created by exchanging the PMs for these VMs.
- *Method 3*: Two VMs hosted by different PMs are chosen randomly from  $\mathbf{x}^{\text{now}}$ . A PM that differs from the hosts is also selected randomly. Then,  $\mathbf{x}^{\text{next}}$  is generated by reassigning the first VM to the PM that hosts the second VM and reassigning the second VM to the third PM.

In a simulation, the probabilities of selecting Methods 1, 2, and 3 were tuned to 0.5, 0.2, and 0.3, respectively. The state for each value of  $T$  was considered to be in equilibrium if the neighbor solution is accepted  $X$  times or unaccepted  $Y$  times. Initial temperature  $T_0$  was set to 0.07 in the simulation. Parameters  $X$ ,  $Y$ ,  $\alpha$ , and  $Q$  were set to 100mn, 400mn, 0.995, and 3000, respectively.

In the above algorithm, step 4 provides a “re-annealing” process. This process increases the temperature to  $T_1$  after the system is cooled sufficiently. Then, the cooling process is resumed from temperature  $T_1$ . The behavior of the temperature is shown in Fig. 1.



**Fig. 1 Temperature behavior employed in the simulated annealing method**

In the re-annealing process, parameters  $Q_1$  and  $Q_2$  determine when the temperature increases to  $T_1$ . Here, the values of  $Q_1$ ,  $Q_2$ , and  $T_1$  were tuned to 1200, 2100, and 0.015, respectively. The re-annealing process is considerably effective at improving solution goodness without increasing computational time.

**3.4 Tabu Search**

Tabu search [12] is another powerful metaheuristic used for optimization problems. This method updates a solution repeatedly by searching a neighborhood of the current solution. The update is performed according to a rule that is determined to effectively search the solution space. In other words, recently examined variable changes are recorded in a “tabu” table and then avoided. The frequency at which a variable changes is also considered, and infrequent changes have higher priority. Even for a change that does not satisfy these rules, the neighborhood solution is accepted only if it improves the solution. The algorithm is written as follows.

**Algorithm *Tabu-Search***

1.  $\mathbf{x}^{\text{best}} := \mathbf{x}^{\text{now}} :=$  output of *Greedy-Fit*;
2. **for**  $r := 1$  to  $R$  **do**
3.  $G_1 := \{\mathbf{x} \mid \text{neighborhood of } \mathbf{x}^{\text{now}} \text{ and } \mathbf{x} \text{ satisfies the rule}\};$
4.  $G_2 := \{\mathbf{x} \mid \text{neighborhood of } \mathbf{x}^{\text{now}} \text{ and } \mathbf{x} \text{ does not satisfy the rule}\};$
5.  $\mathbf{x}^{\text{now}} := \mathbf{x}$  that minimizes  $f(\mathbf{x})$  for  $\mathbf{x}$  in  $G_1$ ;
6. **if**  $f(\mathbf{x}) < f(\mathbf{x}^{\text{best}})$  and  $f(\mathbf{x}) < f(\mathbf{x}^{\text{now}})$  for some  $\mathbf{x}$  in  $G_2$  **then**  $\mathbf{x}^{\text{now}} := \mathbf{x}$ ;
7. **if**  $f(\mathbf{x}^{\text{now}}) < f(\mathbf{x}^{\text{best}})$  **then**  $\mathbf{x}^{\text{best}} := \mathbf{x}^{\text{now}}$ ; **end for**
8. Output  $\mathbf{x}^{\text{best}}$  and  $f(\mathbf{x}^{\text{best}})$ ;

In this study, steps 3 and 4 are executed by randomly selecting one of the following methods at equal probability.

- Method 1: Sets  $G_1$  and  $G_2$  are constructed by each pair of  $\text{VM}_i$  and  $\text{PM}_j$  that does not host  $\text{VM}_i$  in  $\mathbf{x}^{\text{now}}$ . For each pair,  $\mathbf{x}$  is obtained by reassigning  $\text{VM}_i$  to  $\text{PM}_j$ . Solution  $\mathbf{x}$  is added to  $G_2$  if  $\text{VM}_i$  is listed in the tabu table or the frequency of assigning  $\text{VM}_i$  to  $\text{PM}_j$  exceeds a threshold. Otherwise,  $\mathbf{x}$  is added to  $G_1$ .
- Method 2: A neighborhood is found by each pair of VMs hosted by different PMs in  $\mathbf{x}^{\text{now}}$ . For such a pair,  $\mathbf{x}$  is obtained by changing the hosting PMs. Solution  $\mathbf{x}$  is added to  $G_2$  if the VMs are included in the tabu list. Otherwise,  $\mathbf{x}$  is added to  $G_1$ .

The tabu table and frequency are updated after steps 3-7 are completed. The tabu table used in Method 1 lists the VMs recently used to create the new solution. Its size is denoted by  $S_1$ . The tabu table of Method 2 also lists the VMs affected in the recently accepted neighborhood solution. The size is denoted by  $S_2$ . In Method 1, let variable  $F_{i,j}$  and  $R_1$  denote the frequency of reassigning  $\text{VM}_i$  to  $\text{PM}_j$  and the frequency of executing the method, respectively.

The frequency criteria for creating  $G_1$  is expressed as follows:

$$F_{i,j} < \left\lceil \frac{\beta R_1}{mn} \right\rceil \tag{16}$$

where  $\beta$  is a constant and  $\lceil \cdot \rceil$  is the smallest integer not less than  $\cdot$ . The probability of randomly selecting a combination of  $\text{VM}_i$  and  $\text{PM}_j$  is  $(mn)^{-1}$ . Thus, Eq. (16) omits the combination of  $\text{VM}_i$  and  $\text{PM}_j$  from  $G_1$  if it has been used  $\beta$  times more frequently for a new neighborhood than the average.

Parameters  $S_1, S_2, \beta$ , and  $R$  were tuned to 7, 8, 2.6, and  $6 \times 10^6$ , respectively, in the simulation.

**4 Evaluation**

The optimization algorithms were evaluated through a computer simulation, and the algorithms were executed for randomly generated problems. The solutions obtained by each algorithm were then compared.

The simulation model is specified as follows. The number of VMs,  $m$ , was 40 and the number of PMs,  $n$ , was 20. The number of computational resources was two, and constant  $C$  was set to 90. The performance parameter  $\eta_j$  and power coefficients  $P_{j,k}$  for  $\text{PM}_j$  were set as shown in Table 1.

**Table 1  $\text{PM}_j$  parameters**

Range of $j$	$\eta_j$	$P_{j,0}$	$P_{j,1}$	$P_{j,2}$
$1 \leq j \leq 5$	1.0	80.0	40.0	10.0
$6 \leq j \leq 10$	1.5	120.0	60.0	20.0
$11 \leq j \leq 20$	1.0	120.0	60.0	20.0

The load on the VMs was provided at times  $t_0, t_1, \dots, t_{14}$ , and VM placement was determined for these times. The load on  $\text{VM}_i$  was specified by  $u_{i,k}(t)$ . The base value denoted by  $\tilde{u}_{i,k}$  was used to determine  $u_{i,k}(t)$ . This base value is an integer randomly selected in the range [1, 50] for each pair of  $i$  and  $k$  with equal probability. Load  $u_{i,k}(t)$  was then determined as summarized in Table 2.

**Table 2 VM resource consumption**

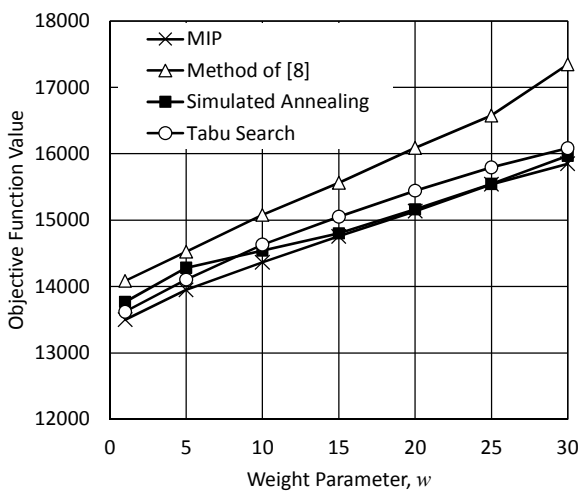
VMs	$u_{i,k}(t)$
$\text{VM}_1, \dots, \text{VM}_{20}$	Randomly selected integer from $[1, \tilde{u}_{i,k}]$
$\text{VM}_{21}, \dots, \text{VM}_{40}$	$\tilde{u}_{i,k}$ , for $t_5, t_6, \dots, t_9$ $\tilde{u}_{i,k} / 2$ , otherwise

Thirty problems were generated by changing the random seed for  $\tilde{u}_{i,k}$  and  $u_{i,k}(t)$ . The algorithms

described in Section 3 were programmed in the C language and executed for the generated problems. The programs were executed on a Linux (CentOS 7) PC with a Core i5 CPU and 16 GB RAM.

The generated problems were also solved by optimization software [13] for comparison. This was performed by formulating each problem as a MIP problem according to Eqs. (8)-(12) and feeding it to GAMS/CPLEX [14], which runs on the Microsoft Windows operating system.

Fig. 2 compares the objective function value obtained for each method. Here, the  $x$  axis is the weight parameter  $w$  and the  $y$  axis is the objective function value. The value is the average of the sum for  $t_0, t_1, \dots, t_{14}$  over 30 problems.

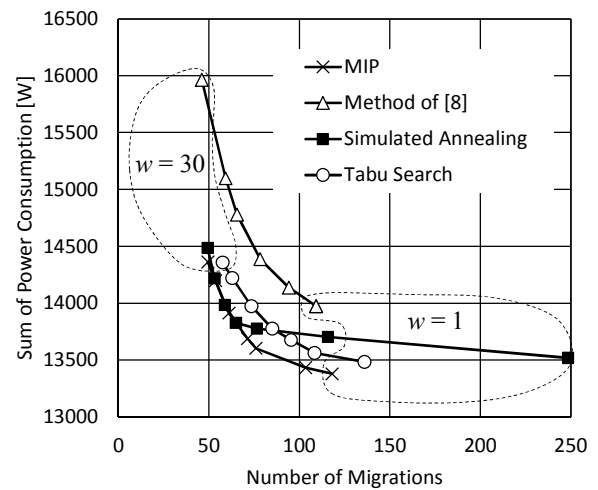


**Fig. 2 Objective function values**

Fig. 2 clearly shows that the simulated annealing and tabu search methods provide good solutions that are very close to those obtained by MIP. These metaheuristics are superior to the algorithm of [8] relative to solution goodness. The tabu search method provides better solutions when  $w \leq 10$ , whereas the simulated annealing method is superior when  $w \geq 15$ . Thus, it is inconclusive which metaheuristic is more advantageous. The best method should be determined by considering whether power or network load is more important.

Fig. 3 plots power consumption against the number of migrations for different  $w$  values. The power consumption and the number of migrations are the average of the sum for  $t_0, t_1, \dots, t_{14}$ . As can be seen, the number of migrations is greater for the simulated annealing method to obtain smaller power consumption (i.e., a smaller  $w$  value). This method yields worse solutions than the MIP and tabu search methods for small  $w$  values due to this characteristic. In contrast, the simulated annealing method yields a

solution that is very close to that of the MIP approach when  $w$  is large. However, the reason for this behavior remains unclear. Thus, further study is required to examine this problem.



**Fig.3 Relation between power consumption and number of migrations**

Table 3 compares computational time. The computational time was measured using the Linux "time" command for the execution of each algorithm. The time is the average over 15 time periods for 30 problems. As can be seen, the computational time is much greater for the simulated annealing and tabu search methods than the method proposed in [8]. However, the time is acceptable when the placement interval is greater than several minutes. Note that the computational time for a single time period for the MIP approach is longer than three hours for some problems. Thus, the assessed metaheuristics are more advantageous and practical than the MIP approach relative to computational time.

**Table 3 Average computational time to obtain a solution for a single time period**

Method of [8]	Simulated annealing	Tabu search
0.000311 s	123.34 s	53.15 s

### 5 Related Work

VM placement and migration optimization has been reported in several studies [3, 5-8, 15-17]. Reference [3] explored multiple aspects of the problem, i.e., demand characteristics, a benefit evaluation of dynamic VM placement, demand forecasting, and the placement algorithm. The algorithm proposed in [3]

attempts to reduce the number of PMs and satisfy a given service level agreement.

The method proposed in [5] considered temperature, performance, and power efficiency metrics. That method determines initial and dynamic VM placement to maximize the utility function that combines these metrics. The considered computational resources include a CPU, I/O, and a network. However, the validity of their utility definition is unclear.

Reference [6] presented a VM placement optimization method assuming heterogeneous power consumption and computational capability represented by MIPS (million instructions per second). The objective of this optimization is to minimize only power consumption. Thus, the method does not consider the network load incurred by migration. Moreover, the method considers CPU utilization as the sole computational resource. However, other resources can influence performance.

Reference [7] applied the ant colony heuristic to the VM placement problem. Similarly, this method did not consider the network load incurred by migration.

The author's previous research [8] attempted to optimize both power consumption and migration load. However, the method assumes uniform computational capability for all PMs. In addition, the employed algorithm does not necessarily provide good solutions compared to the MIP approach.

Some studies have dealt with optimal VM placement from a quite different perspective. For example, the purpose of [15, 16] is to determine VM placement that minimizes data access latency. In these studies, the power consumption and load by migration were not considered even though they are practically important.

Reference [17] considered average power consumption and wastage balance between the CPU and memory. However, this may not be relevant because it is not always necessary to consume two resources equally to obtain good performance or less power consumption. In addition, in some cases, the performance of a system can depend on three or more resources, e.g., CPU, memory, and network I/O. It is unclear how the method in [17] treats such cases.

## 6 Conclusion

This study has investigated algorithms to optimize the placement and migration of VMs among PMs. The algorithms determine placement and migration to minimize cost assuming PMs have heterogeneous power consumption and computational performance. In this study, cost was defined by the weighted sum

of power and the number of migrations. The examined algorithms included the method proposed in [8] and two metaheuristics, i.e., simulated annealing and tabu search methods. These methods were evaluated in a computer simulation. The simulation results demonstrate that the metaheuristics obtained better solutions than the previously proposed method.

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